

Month	Regulatory Obligations	Submit through	Obligated entity	Ref. period	Deadlines	
January	Complaints Form 144-002-01	TRS	CIF, CASP	Dec previous year	5th of Jan	
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Dec previous year	15th of Jan	
	Cross Border Activity Form CBRT	EU online platform	CIF	Previous year	31st of Jan	
	Notification of Shareholders and Tied Agents	CySEC portal	CIF, CASP	Previous year	31st of Jan	
	Annual Sub-threshold AIFM Report	TRS	AIFM	Q4 previous year	31st of Jan	
February	Quarterly Statistics Form QST	TRS	AIFM	Q4 previous year	2nd of Feb	
	Complaints Form 144-002-01	TRS	CIF, CASP	Jan current year	5th of Feb	
	Quarterly Statistics Form QST	TRS	CIF, CASP	Q4 previous year	5th of Feb	
	Annual Prudential Supervision Form 165-02 for Class 3	CySEC XBRL portal	CIF75	Q4 previous year	11th of Feb	
	Quarterly Prudential Supervision Form 165-01 for Class 2	CySEC XBRL portal	CIF150, CIF750, CASP	Q4 previous year	11th of Feb	
	Quarterly Balance Sheet, Income Statement, Trial Balance	CySEC portal	CIF, CASP	Q4 previous year	11th of Feb	
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Jan current year	15th of Feb	
	Quarterly Survey QSEFI-QRVS	CBC portal	CIF	Q4 previous year	20th of Feb, upon CBC request	
	Commercial Real Estate Form CRE-IF	TRS	AIFM	6 months previous year	23rd of Feb	
	Renewal of certified persons in the CySEC public register	CySEC website	CIF, CASP, AIFM	Current year	29th of Feb	
March	IPU Threshold Monitoring Form	CySEC XBRL portal	CIF	Q4 previous year	29th of Feb	
	Complaints Form 144-002-01	TRS	CIF, CASP	Feb current year	5th of Mar	
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Feb current year	15th of Mar	
	Annual Money Laundering Compliance Officer Report	CySEC portal	CIF, CASP, AIFM	Previous year	31st of Mar	
	Quarterly RTS 27 Execution Quality of Transactions	Own website	CIF750	Q4 previous year	31st of Mar	
	April	Complaints Form 144-002-01	TRS	CIF, CASP	Mar current year	5th of Apr
		AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Mar current year	15th of Apr
		Quarterly Statistics Form QST	TRS	AIFM	Q1 current year	28th of Apr
		Annual Compliance Function Report	CySEC portal	CIF, CASP, AIFM	Previous year	30th of Apr, AIFM upon CySEC request
		Annual Risk Management Report	CySEC portal	CIF, CASP, AIFM	Previous year	30th of Apr, AIFM upon CySEC request
Annual Internal Audit Report		CySEC portal	CIF, CASP, AIFM	Previous year	30th of Apr	
Annual Audited Financial Statements		CySEC portal	CIF, CASP	Previous year	30th of Apr	
Annual Fees to CySEC Form 87-03-01		CySEC portal	CIF	Previous year	30th of Apr	
CIR Package - XBRL format		CBC portal	CIF750	Previous year	30th of Apr	
Annual Disclosure & Market Discipline Report		Website	CIF, CASP, AIFM	Previous year	30th of Apr	
Annual RTS 28 Execution Quality Summary Statement	Own website	CIF	Previous year	30th of Apr		

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May	Complaints Form 144-002-01	TRS	CIF, CASP	Apr current year	5th of May
	Statement of Eligible Funds and Financial Instruments Form 87-07-07 and External Auditor Report Form 87-07-06	ICF email	CIF, CASP, AIFM	Previous year	10th of May
	Quarterly Prudential Supervision Form 165-01 for Class 2	CySEC XBRL portal	CIF150, CIF750, CASP	Q1 current year	12th of May
	Quarterly Balance Sheet, Income Statement, Trial Balance	CySEC portal	CIF, CASP	Q1 current year	12th of May
	Quarterly Statistics Form QST	TRS	CIF, CASP	Q1 current year	12th of May
	IPU Threshold Monitoring Form	CySEC XBRL portal	CIF	Q1 current year	12th of May
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Apr current year	15th of May
	Audited Financial Statements to the Statistics Dep. & CBC	CBC email	CIF, CASP, AIFM	Previous year	17th of May
	Liquidity Buffer Confirmation form 87-07-05	ICF	CIF, CASP, AIFM	Previous year	20th of May
	Quarterly Survey QSEFI-QRVS	CBC portal	CIF	Q1 current year	24th of May, upon CBC request
	Risk Based Supervision Framework (RBS-F)	TRS	CIF, CASP	Previous year	26th of May
	External Auditor's Verification Report on Pillar III Disclosures	CySEC portal	CIF	Previous year	31st of May
	Annual Audited Disclosure & Market Discipline Report	CySEC portal	CIF	Previous year	31st of May
	Annual Contribution fee to the National Resolution Fund	CBC email	CIF	Previous year	31st of May
	Annual Prudential Supervision Form 165-01 for Class 2 & Form 165-02 for Class 3	CySEC XBRL portal	CIF, CASP	Q4 previous year	31st of May
June	Complaints Form 144-002-01	TRS	CIF, CASP	May current year	5th of Jun
	CIFs will be notified for their ICF annual contribution fee	ICF email	CIF, CASP, AIFM	Previous year	10th of Jun
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	May current year	15th of Jun
	Benchmarking Exercises on Remuneration Practices and Gender Pay Gap Annexes I-IV	CySEC XBRL portal	CIF	Previous year	15th of Jun
	Data Collection Exercises Regarding High Earners Annexes I-II	CySEC XBRL portal	CIF	Previous year	15th of Jun
	Risk Based Supervision Framework (RBS-F)	TRS	AIFM	Previous year	16th of Jun
	Common Reporting Standards (CRS) Reporting	Ariadni TAX	CIF, CASP, AIFM	Previous year	30th of Jun
	Foreign Account Tax Compliance Act (FATCA) Reporting	Ariadni TAX	CIF, CASP, AIFM	Previous year	30th of Jun
	Quarterly RTS 27 Execution Quality of Transactions	Own website	CIF750	Q1 current year	30th of Jun
	Internal Capital Adequacy Risk Assessment (ICARA)	CySEC	CIF, CASP	Previous year	Upon CySEC request
	Internal Audit Report for ICT and security risks	CySEC	CIF150, CIF750	Previous year	Upon CySEC request
	Annual Prudential Supervision Form 165-03	TRS	CIF, CASP	Previous year	30th of Jun
	Annual Auditor's Suitability Report	CySEC portal	CIF	Previous year	30th of Jun
	Annual Audit Report, Financial Statements & Remuneration	TRS	AIFM	Previous year	30th of Jun

Month	Regulatory Obligations	Submit through	Obligated entity	Ref. period	Deadlines
July	Complaints Form 144-002-01	TRS	CIF, CASP	Jun current year	5th of Jul
	ICF Annual contribution fee (if a discount applies)	ICF email	CIF, CASP, AIFM	Previous year	10th of Jul
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Jun current year	15th of Jul
	Quarterly Statistics Form QST	TRS	AIFM	Q2 current year	28th of Jul
August	Quarterly Statistics Form QST	TRS	CIF, CASP	Q2 current year	4th of Aug
	Complaints Form 144-002-01	TRS	CIF, CASP	Jul current year	5th of Aug
	ICF Annual contribution fee (if no discount applies)	ICF email	CIF, CASP, AIFM	Previous year	10th of Aug
	Quarterly Prudential Supervision Form 165-01 for Class 2	CySEC XBRL portal	CIF150, CIF750, CASP	Q2 current year	11th of Aug
	Quarterly Balance Sheet, Income Statement, Trial Balance	CySEC portal	CIF, CASP	Q2 current year	11th of Aug
	IPU Threshold Monitoring Form	CySEC XBRL portal	CIF	Q2 current year	11th of Aug
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Jul current year	15th of Aug
	Benchmarking Exercises on Remuneration Practices and Gender Pay Gap Annex V	CySEC XBRL portal	CIF	Previous year	15th of Aug
	Quarterly Survey QSEFI-QRVS	CBC portal	CIF	Q2 current year	21st of Aug, upon CBC request
	Half-Yearly Audit Report, Financial Statements & Remuneration	TRS	AIFM	6 months current year	31st of Aug
	September	Complaints Form 144-002-01	TRS	CIF, CASP	Aug current year
AML Monthly Prevention Statement Form MPS		TRS	CIF, CASP, AIFM	Aug current year	15th of Sep
Recovery and Resolution Form 20-01		CySEC email	CIF750	Previous year	30th of Sep
Quarterly RTS 27 Execution Quality of Transactions		Own website	CIF750	Q2 current year	30th of Sep
October	Complaints Form 144-002-01	TRS	CIF, CASP	Sep current year	5th of Oct
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Sep current year	15th of Oct
	Quarterly Statistics Form QST	TRS	AIFM	Q3 current year	31st of Oct
November	Complaints Form 144-002-01	TRS	CIF, CASP	Oct current year	5th of Nov
	Quarterly Statistics Form QST	TRS	CIF, CASP	Q3 current year	6th of Nov
	Quarterly Prudential Supervision Form 165-01 for Class 2	CySEC XBRL portal	CIF150, CIF 750, CASP	Q3 current year	11th of Nov
	Quarterly Balance Sheet, Income Statement, Trial Balance	CySEC portal	CIF, CASP	Q3 current year	11th of Nov
	IPU Threshold Monitoring Form	CySEC XBRL portal	CIF	Q3 current year	11th of Nov
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Oct current year	15th of Nov
	Quarterly Survey QSEFI-QRVS	CBC portal	CIF	Q3 current year	17th of Nov, upon CBC request
December	Complaints Form 144-002-01	TRS	CIF, CASP	Nov current year	5th of Dec
	AML Monthly Prevention Statement Form MPS	TRS	CIF, CASP, AIFM	Nov current year	15th of Dec
	Quarterly RTS 27 Execution Quality of Transactions	Own website	CIF750	Q3 current year	31st of Dec

	Annual Contribution for AIFM	CySEC portal	AIFM	Current year	31st of Dec
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We recommend this calendar be used as a guidance tool towards anticipating the workload associated with meeting these deadlines. Although we have made every effort regarding the accuracy of the **SALVUS Regulatory Reporting Obligations Calendar™**, exclusions or alterations are possible. Thus, it should be expected that deadlines, reference periods, submission platforms, obliged entities and regulatory obligations may be removed, added, or amended by the respective authorities. We recommend this calendar be used as a guidance tool and we assume no responsibility for the correctness, accuracy, timeliness, reliability, and completeness of the content and information provided.

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Thank you,
the Regulatory Compliance team at SALVUS



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